



VSS Summer  
Course-2019

Liu Hsu  
UFRJ

Introduction

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A brief history

A 1965 survey

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# Global Tracking for Uncertain Systems by Output Feedback

Liu Hsu  
UFRJ

VSS SUMMER SCHOOL 2019

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# 1. Introduction

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## 2. Control Signal Synthesis

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## 2.1 Control Signal Synthesis: brief history

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- Back to the 60's, e.g., Lyapunov control synthesis was exploited.
- Sliding modes or Variable Structure Systems not well acknowledged. However, the need of discontinuous control appeared.
- Lowe & Rowlands (1974) used "signal synthesis" for designing Model Reference Adaptive Control (MRAC).
- Devaud & Caron (1975) pioneered use discontinuous SMC (Sliding Mode Control) in the context Model Reference Control.
- Ambrosino, Celentano & Garofalo (1984) introduced the term **Variable Structure MRAC using only input and output measurements**.



## 2.2 A 1965 survey

(L.P.Grayson, Automatica, vol.3, pp. 91-121, 1965)

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Technique	Plant	Procedure	Resulting System	Literature
1	$\dot{x} = A(\alpha)x$ is asymptotically stable for all fixed $\alpha$ allowed.	Choose $\alpha$ to minimize $\varphi(\alpha) = \int_0^{\infty} x' Q x dt$	Linear, time-invariant. Over-all system is optimal.	KRASOVSKII [3], MEEBROV [4], ALEX [5]
2	$\dot{x} = Ax + Bu$ where $\dot{x} = Ax$ is asymptotically stable.	Choose $u$ , such that $ u_i  \leq 1$ to minimize $\varphi(u) = \int_0^{\infty} x' Q x dt$	Nonlinear, time-invariant. The $u$ s result in relays or saturation elements. A regulator. System is optimal.	BASS [7] KALMAN and BERTRAM [8] GIESEKING [9]
3	$\dot{x} = Ax + bf(\sigma)$ where $\dot{x} = Ax$ is asymptotically stable and $\sigma f(\sigma) \neq 0$ for $\sigma \neq 0$ .	Choose $\sigma = a'x$ where $a = -Pb$ and $V = x'Px$ .	Nonlinear, time-invariant plant; a linear time-invariant controller. Overall it is a regulator.	BASS [7]
4	$\dot{x} = Ax + bf(\sigma)$ where $\dot{x} = Ax$ is asymptotically stable and $\sigma f(\sigma) \neq 0$ for $\sigma \neq 0$ .	Choose $\sigma$ to satisfy $\dot{\sigma} + k\sigma = ax - l f(\sigma)$ where $a = -Pb$ and $V = x'Px$ , $k \geq 0$ , $l \geq 0$ , $k^2 + l^2 \neq 0$ .	Nonlinear, time-invariant plant; a nonlinear, time-invariant controller. Overall it is a regulator.	BASS [7]
5	$\dot{x} = Ax + bf(\sigma)$ where $\dot{x} = Ax$ is arbitrary and $f(\sigma) = \text{sgn } \sigma$ .	Choose $\sigma$ to satisfy $\dot{\sigma} + k\sigma = a'x - [I - x'Qx] f(\sigma)$ where $a = -Pb$ , $V = x'Px$ and $A'P + PA = -Q$ .	Time-invariant plant with relays. Controller is nonlinear, time-invariant. A regulator.	BASS [7]
6	$\dot{x} = f(x) + u$ where $\dot{x} = f(x)$ is stable, but not asymptotically stable.	Choose $u$ to make system asymptotically stable, such that the $ u_i $ are bounded, or the time to reach $x=0$ is minimized, or the time cost is a minimum.	Linear or nonlinear controllers.	LEE [10] GEISS [11]



# 3. Model Reference Adaptive Control (MRAC)

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# 3.1 Simple example: Adaptive roll control of an aircraft (Lavrestky 2008)

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- Uncertain Roll dynamics:**  $\dot{p} = L_p p + L_{\delta_{ail}} \delta_{ail}$ 
  - $p$  is roll rate,
  - $\delta_{ail}$  is aileron position
  - $(L_p, L_{\delta_{ail}})$  are unknown damping, aileron effectiveness
- Flying Qualities Model:**  $\dot{p}_m = L_p^m p_m + L_{\delta}^m \delta(t)$ 
  - $(L_p^m, L_{\delta}^m)$  are desired damping, control effectiveness
  - $\delta(t)$  is a reference input, (pilot stick, guidance command)
  - roll rate tracking error:  $e_p(t) = (p(t) - p_m(t)) \rightarrow 0$
- Adaptive Roll Control:**  $\delta_{ail} = \hat{K}_p p + \hat{K}_{\delta} \delta$ 

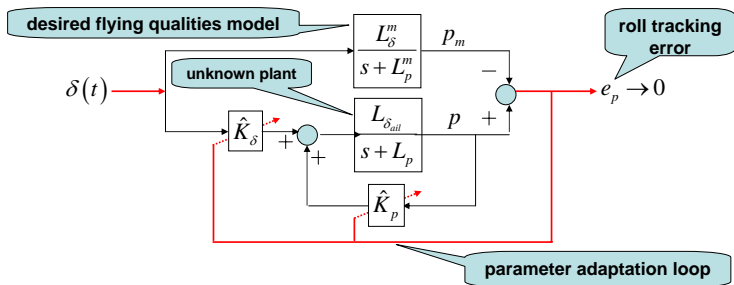
$$\begin{cases} \dot{\hat{K}}_p = -\gamma_p p (p - p_m) \\ \dot{\hat{K}}_{\delta} = -\gamma_{\delta_{ail}} \delta(t) (p - p_m) \end{cases}, \quad (\gamma_p, \gamma_{\delta_{ail}}) > 0$$

parameter adaptation laws

E. Lavretsky



## 3.1.2 Block diagram of adaptive roll rate control





### 3.1.3 Adaptive control law

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The roll control problem is a particular case of the following system:

- Plant:  $\dot{x} = ax + bu$
- Model reference:  $\dot{x}_m = a_m x_m + b_m r$
- Regressor vector:  $\omega^T = [x \quad r]$
- Model matching control (**unknown**):

$$u^* = -k^* x + l^* r; \quad l^* = b_m/b; \quad k^* = (a_m + a)/b$$

- Adaptive parameter vector:  $\theta^T = [l \quad k]$
- Control parameterization:  $u := \theta^T \omega$
- Output (tracking) error:  $e = x - x_m$
- Adaptation gain matrix:  $\Gamma = \begin{bmatrix} \gamma_1 & 0 \\ 0 & \gamma_2 \end{bmatrix}$
- Adaptation law:  $\dot{\theta} = -\text{sign}(b)\Gamma\omega e$



## 3.2 Lyapunov based MRAC design

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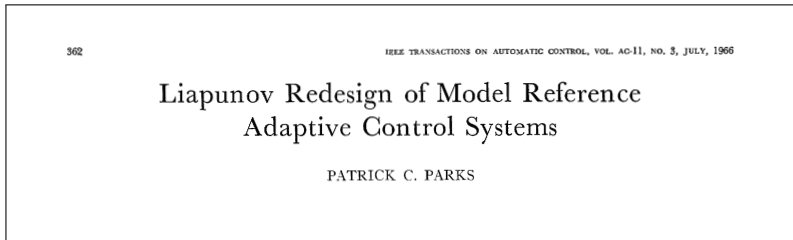
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Lyapunov based design for adaptive control (Parks, 1966)



A landmark in modern adaptive control theory.



## 3.2.1 MRAC – System equations

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For model following a necessary assumption is that the plant be minimum-phase!

- Plant:  $G(s) = K_p \frac{N(s)}{D(s)}$ ;  $y = W(s)u$
- Reference Model (SPR):  $W_m(s) = K_m \frac{Z(s)}{R(s)}$ ;  $y_M = W_M(s)r$
- Output error:  $e_1 = y - y_M$
- State variable filters ( $\omega_1, \omega_2 \in \mathbb{R}^{n-1}$ )

$$\dot{\omega}_1 = \Lambda \omega_1 + g u$$

$$\dot{\omega}_2 = \Lambda \omega_2 + g y$$

- Regressor vector:  $\omega^T = [\omega_1^T \ \omega_2^T \ y \ r]$
- Adaptive parameter vector:  $\theta^T = [\theta_1^T \ \theta_2^T \ \theta_3 \ \theta_4]$



## 3.2.2 Error equations

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**Error equations**

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- The output error is denoted  $e_1 = y - y_M$
- The parameter error is  $\tilde{\theta} := \theta - \theta^*$
- Error dynamic equations (including filters)

$$\dot{e} = Ae + \rho^* b \tilde{\theta}^T \omega, \quad \rho^* = (\theta_4^*)^{-1} = K_p / K_m, \quad e \in \mathbb{R}^{3n-2}, \quad e_1 = h^T e$$

- We arrive at a similar error equation but  $e \in \mathbb{R}^1 \rightarrow e \in \mathbb{R}^{3n-2}$
- Why  $(3n - 2)$ ? ... to include the state variable filters
- $e_1 = h^T e$  for some  $h \in \mathbb{R}^{3n-2}$
- $\{A, b, h\}$  is a *nonminimal* realization of model  $W_M(s)$



## 3.4 Lyapunov design, $n^* = 1$

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### The (simplified) Kalman-Yakubovitch-Popov Lemma (\*)

Let  $G(s) = C((sI - A)^{-1}B)$  be a  $p \times p$  transfer function, where  $(A, B)$  is controllable and  $(A, C)$  is observable. Then  $G(s)$  is strictly positive real iff  $\exists P = P^T > 0, Q > 0$  such that

$$PA + A^T P = -Q$$

$$PB = C$$

Choose candidate Lyapunov function  $V$  and adaptive law for  $\dot{V} \leq 0$



## 3.4 Lyapunov design, $n^* = 1$

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### Remarks

- Generalization of the KYP to noncontrollable systems was made by Meyer. We need it because  $(A, b, h)$  is nonminimal.
- **Fact:**  $\exists \theta^*$  s.t. plant matches reference model with  $u^* = \theta^{*T} \omega$  with regressor vector  $\omega$ .
- **Assumptions:** known  $n$ , known sign of  $K_p$ .



## 3.4.1 Adaptive laws, $n^* = 1$

- The Lyapunov function:

$$V = \frac{1}{2} e^T P e + \frac{1}{2} \tilde{\theta}^T |\rho^*| \Gamma^{-1} \tilde{\theta} > 0$$

- $\dot{V} = e^T P \dot{e} + |\rho^*| \tilde{\theta}^T \Gamma^{-1} (\dot{\tilde{\theta}})$

### Adaptive control law – SISO, $n^* = 1$

- Control law:  $u = \theta^T \omega$
- Adaptation law:  $\dot{\theta} = -\text{sign}(K_p) \Gamma \omega e$ ;  $\Gamma = \Gamma^T > 0$
- $\dot{V} = e^T P (Ae + b\rho^* [\tilde{\theta}^T \omega]) + |\rho^*| \theta^T \Gamma^{-1} (-\text{sign}(\rho^*)) \Gamma \omega e_1$
- or  $\dot{V} = -e^T Q e + e_1 \rho^* [\tilde{\theta}^T \omega] - \rho^* \tilde{\theta}^T \omega e_1$ ;
- Thanks to the **KYP Lemma**:

$$\dot{V} = -e^T Q e \leq 0 \quad (\text{semidefinite negative})$$



## 3.4.2 MRAC block diagram

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Hidden difficulties

MRAC,  $n^* > 1$

MRAC to VS-MRAC

Brief history

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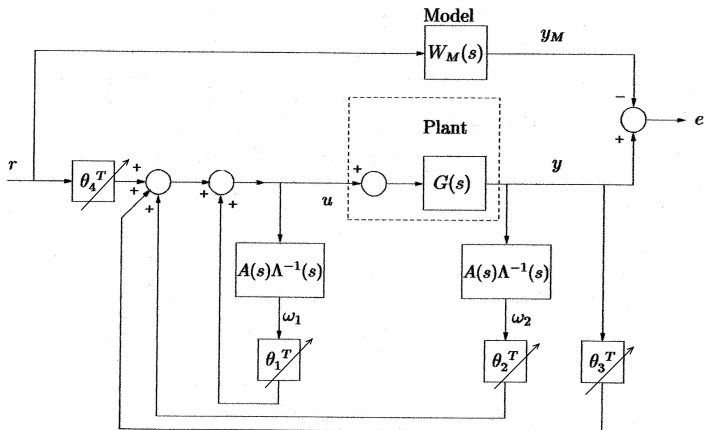
Lyapunov control synthesis

Link between MRAC and VS-MRAC

The OF VS-MRAC,  $n^* = 1$

Main result,  $n^* = 1$

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### 3.4.3 Hidden difficulties of semi-definite $\dot{V}$

With  $V(e, \tilde{\theta}) > 0$  but  $\dot{V} = -e^T Q e \leq 0$  (semi-definite) one can conclude or unclude:

- $e(t) \in \mathcal{L}_\infty \cup \mathcal{L}_2$  and  $\tilde{\theta}(t) \in \mathcal{L}_\infty$
- $\dot{e}(t) \in \mathcal{L}_\infty$
- $e(t) \rightarrow 0$
- The parametric error  $\tilde{\theta}(t) := (\theta - \theta^*)$  may not converge to zero. It requires *Persistency of Excitation* or  $r(t)$  sufficiently rich.

In fact,

The adaptation transient can be extremely slow or oscillatory.  
Still a rather open problem in adaptive control!

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## 3.5 MRAC general case of $n^* \geq 1$

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### Limitation

SPR implies relative degree 1.

- Major difficulty of the general case: relative degree  $\geq 1$ .
- The Reference Model can not be SPR.
- Solution for adaptive control:  
**Monopoli's augmented error**
- Adaptive algorithm analysis and synthesis much more complicated!



## 4. Transforming MRAC to VS-MRAC I

- MRAC block diagram
- Hidden difficulties of semi-definite  $\dot{V}$

### 4 MRAC to VS-MRAC

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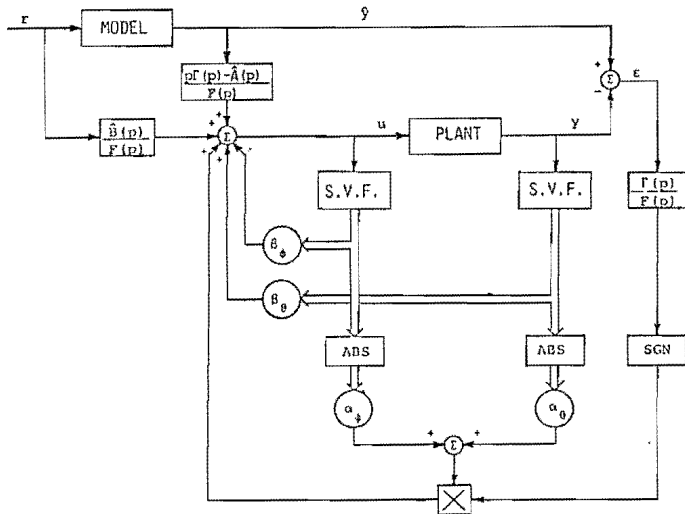
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**STATE FEEDBACK:** (Devaud & Caron 1975), (Zinober, El-Ghezawi & Billings, 1982) and references therein.

**OUTPUT FEEDBACK:**

- 1** Ambrosino, Celentano & Garofalo (1984): "Variable structure model reference adaptive control systems" (VS-MRAC) first named this technique. *However, the control was ill-defined...*
- 2** Bartolini & Zolezzi (1988): "The V.S.S. Approach to the Model Reference Control of Nonminimum Phase Linear Plants", *a very ambitious objective –Problem: requires a stringent a priori signal boundedness condition to assure stability.*

## 4.2 ACG VS-MRAC (1984)





## 4.3 Lyapunov design of VS-MRAC

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From MRAC to VS-MRAC with  $n^* = 1$

Underlying ideas (Hsu & Costa 1989)

- What if the adaptation gain tends to  $\infty$  and the parameters are defined memoryless?
- Then  $V(e) = \frac{1}{2}(e^T P e)$
- ...Back to Lyapunov Synthesis Approach!
- ...But using only output feedback.



## 4.3 Lyapunov design of VS-MRAC

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Recall MRAC error equations and KYP lemma.

- Error equations (including I/O filters)

$$\dot{e} = Ae + \rho^* b \tilde{\theta}^T \omega, \quad \rho^* = (\theta_4^*)^{-1} = K_p / K_m, \quad e \in \mathbb{R}^{3n-2}, \quad e_1 =$$

- We arrive at a similar error equation but  $e \in \mathbb{R}^1 \rightarrow e \in \mathbb{R}^{3n-2}$

- $e_1 = h^T e$  for some  $h \in \mathbb{R}^{3n-2}$

- $\{A, b, h\}$  is a *nonminimal* realization of model  $W_M(s)$

- Chose an SPR model:  $\exists P, Q > 0$  such that  $A^T P + PA = -Q < 0, Pb = h$  (**KYP Lemma**)





## 4.3.1 Lyapunov control synthesis

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### VS control

Similar to adaptive control law:  $u = \sum_{i=1}^{2n} \psi_i \omega_i$ .

Now, instead of adapting the parameters  $\psi_i$  with an integral law, we let them switch.

The switching functions  $\psi_i$  is designed from the Lyapunov function

$$V(e) = \frac{1}{2} e^T P e,$$

where  $P = P^T > 0$  satisfies the KYP lemma.



## 4.3.1 Lyapunov control synthesis

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Calculating  $dV/dt$  with respect to error dynamic equations one has (recall  $\theta_{2n}^* > 0$ ):

$$\begin{aligned}\dot{V} &= -e^T Q e + (\theta_{2n}^*)^{-1} (u - \theta^{*T} \omega) e_1 \\ &= -e^T Q e + (\theta_{2n}^*)^{-1} \sum_{i=1}^{2n} (\psi_i - \theta_i^*) \omega_i e_1.\end{aligned}$$



## 4.3.1 Lyapunov control synthesis

III

Now, choosing

$$\psi_i = -\bar{\theta}_i \operatorname{sign}(\omega_i e_1),$$

where  $\bar{\theta}_i > |\theta_i^*|, \forall i$ , then

$$\dot{V} = -e^T Q e + (\theta_{2n}^*)^{-1} \sum_{i=1}^{2n} (-\bar{\theta}_i |\omega_i e_1| + \theta_i^* \omega_i e_1).$$

Since summation above is non-positive, then

$\dot{V}$  is negative definite!  
Exponential stability guaranteed!

$$\dot{V} < -e^T Q e < 0.$$

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## 4.3.1 Lyapunov control synthesis

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Summarizing:

- Lyapunov function candidate:  $V(e) = \frac{1}{2}e^T P e$
- SPR allows:  $e_1 = (Pb)^{-1}e$
- Upper bounds  $\bar{\theta}_i > \theta^*_i$  are known
- Choose  $\psi_i = -\bar{\theta}_i \text{sign}(\omega_i e_1)$
- Conclude  $\dot{V} < -e^T Q e < 0$

**Remark:**

SPR made the "magic" of sign-indefinite terms being cancellable!



## 4.3.2 Link between MRAC and VS-MRAC

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MRAC block diagram

Hidden difficulties

MRAC,  $n^* > 1$

MRAC to VS-MRAC

Brief history

ACG VS-MRAC (1984)

Lyapunov design of VS-MRAC

Lyapunov control synthesis

Link between MRAC and VS-MRAC

The OF VS-MRAC,  $n^* = 1$

Main result,  $n^* = 1$

Simulation results

Consider the adaptation law:

$$\mu \dot{\theta} = -\sigma \theta - \Gamma \omega e_1, \quad \mu > 0$$

with forgetting factor  $\sigma/\mu > 0$  and singular perturbation  $\mu \rightarrow 0^+$  and “normalized gain”

$$\Gamma = \text{diag} \left[ \begin{array}{c} (\sigma/\mu) \bar{\theta}_i \\ |e_1 \omega_i| \end{array} \right]$$

Type	$\sigma/\mu$	$\mu$
MRAC	0	1
transition	$> 0$	small
VS-MRAC	$\infty$	0

This is in agreement with the “fast forgetting and high adaptation gain” interpretation of the VS-law.



## 4.4 The (output feedback) VS-MRAC, $n^* = 1$

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MRAC block  
diagram

Hidden difficulties

MRAC,  $n^* > 1$

MRAC to  
VS-MRAC

Brief history

ACG VS-MRAC  
(1984)

Lyapunov design of  
VS-MRAC

Lyapunov control  
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Link between  
MRAC and  
VS-MRAC

The OF VS-MRAC,  
 $n^* = 1$

Main result,  
 $n^* = 1$

Simulation results

### Compact form

(Hsu & Araújo 1990)[?]

$$u = -\rho(\omega)\text{sign}(e_1)$$

$$\rho = \left[ \sum_1^{2n} \bar{\theta}_i |\omega_i| + \delta \right]$$

$\rho$  is called “gain” or “modulation” function of the relay function  $\text{sign}(\cdot)$ , with arbitrary  $\delta > 0$ .



## 4.4.1 Main result

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MRAC block  
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MRAC,  $n^* > 1$

MRAC to  
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VS-MRAC

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Main result,  
 $n^* = 1$

Simulation results

**Theorem (Global Stability):** For every initial condition,

- $\|e(t)\| \rightarrow 0$  with at least an exponential rate, independent of the excitation  $r(t)$ ;
- The output error  $e_1(t) = h^T e$  becomes zero after finite time  $t_1 \geq t_0$ , in sliding mode.

## 4.4.2 Simulation results

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MRAC block diagram

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MRAC to VS-MRAC

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Lyapunov control synthesis

Link between MRAC and VS-MRAC

The OF VS-MRAC,  $n^* = 1$

Main result,  $n^* = 1$

Simulation results

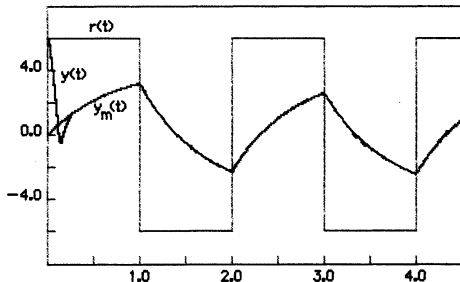
Uncertain nonlinear time-varying plant  
(Hsu and Costa 1989)

$$\dot{x}_1 = [1 + a(t)]x_2$$

$$\dot{x}_2 = \sin x_1 - 2\sin x_2 + d(t) + u$$

$$\dot{y}_m = -2y_m + r(t);$$

$$y = 6x_1 + x_2$$







## 4.5 VS-MRAC, $n^* \geq 1$

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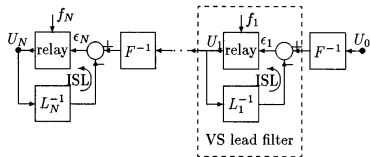
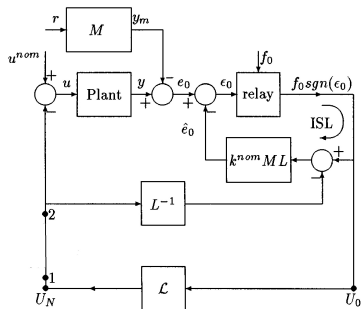
MIMO  
VS-MRAC

Multivariable  
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As for MRAC, an augmented error was also proposed by (Hsu 1990) for the VS-MRAC, inspired by:

- (Monopoli, 1974)
- predicted error and prediction error (Goodwin and Mayne 1987)

# 4.4.1 Block diagram, $n^* \geq 1$



$$k^{nom} = k^* \rightarrow \epsilon_0 = k^* ML(-U_0 - L^{-1}u^*)$$

$\mathcal{L}$

- $\mathcal{L}$  is an approximation of  $L = L_1 \dots L_N$ ;
- $L_i = (s + \alpha_i)$ ;  $F^{-1} = 1/(\tau s + 1)$  is an averaging filter.
- ISL: is an "Ideal Sliding Loop" if  $ML \in \text{SPR}$



## 4.4.2 Global stability/tracking

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- Partial proof ( $n^* = 2$ ) was presented in (Hsu, Araújo, Costa, 1994) [Hsu, Araújo, and Costa 1994]
- The complete stability proof was published in (Hsu, Lizarralde and Araújo 1997)[Hsu, Lizarralde, and Araújo 1997]
- Two fundamental lemmas were developed to this end:



## 4.4.3 Fundamental Lemmas

|

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### Lemma 1

Consider the I/O relationship

$$\varepsilon_0(t) = M(s)[u + d(t) + \pi(t)], \quad u = -f(t)\text{sign}(\varepsilon_0)$$

where  $M(s)$  is SPR,  $d(t), \pi(t)$  are LI (locally integrable),  $|\pi(t)| \leq Re^{-at}$ ,  $a > 0$ . Let  $x$  be the state of a stable realization of  $M(s)$ . If  $f(t)$  is LI and  $f(t) \leq |d(t)|$ ,  $\forall t \geq 0$ , then the inequality

$$\|\varepsilon_0(t)\| \text{ and } \|x(t)\| \leq [c_1 \|x(0)\| + c_2 R] e^{-\lambda_1 t}$$

holds  $\forall t \geq 0$  and for positive constants  $c_1, c_2, \lambda_1$ . Moreover, if  $f(t) \leq |d(t)| + \epsilon$ ,  $\forall t \geq 0$ , for arbitrary  $\epsilon > 0$ , then  $\varepsilon_0(t)$  tends to zero in finite time.

Proof: [Hsu and Costa 1989], (Hsu and Lizarralde 1992).



## 4.4.3 Fundamental Lemmas

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### Lemma 2

Consider the I/O relationship

$$\varepsilon(t) = \frac{1}{s + \alpha} [u + d(t)] + \pi(t) + \beta(t), \quad u = -f(t)\text{sign}(\varepsilon_0)$$

where  $\pi(t)$  is as in Lemma 1 and  $\beta \in L_{\infty e}$ , are both absolutely continuous. If  $f(t) \geq |d(t)|$ ,  $\forall t$ , then with  $\hat{e}(t) := \varepsilon(t) - \beta(t)$ :

$$|\hat{e}(t)| \text{ and } |\varepsilon(t)| \leq |\hat{e}(0)|e^{\alpha t} + 2 \left[ R e^{-\min(\alpha, \lambda)t} + \sup_t |\beta| \right]$$

Proof: Nontrivial! [Hsu, Lizarralde, and Araújo 1997]



## 4.4.3 Fundamental Lemmas

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### Lemma 3 (FOAF (\*) Lemma)

Consider the stable strictly proper input/output relationship  $z = W(s)d$ . Let  $\gamma_0$  be a positive constant satisfying  $0 < \gamma_0 < \min_j |Re(p_j)|$  ( $p_j$  are the poles of  $W(s)$ ), and  $\bar{d}(t)$  be an instantaneous upper bound of  $d(t)$ , i.e.,  $|d(t)| \leq \bar{d}(t) \forall t$ . Then, there exists a positive constant  $c_1$  such that the impulse response  $w(t)$  satisfies  $|w(t)| \leq c_1 \gamma_0 e^{-\gamma_0 t}$  and the following inequalities hold

$$|W * d(t)| \leq c_1 \frac{\gamma_0}{s + \gamma_0} * \bar{d}(t); \quad (1)$$

$$|z(t) - z^0(t)| \leq c_1 \left| \hat{d}(t) - \hat{d}(t)^0 \right|; \quad \hat{d} = \left( \frac{\gamma_0}{s + \gamma_0} \right) \bar{d} \quad (2)$$

$$|z(t)| \leq c_1 \hat{d}(t) + \exp \quad (3)$$

where  $z^0$ ,  $\hat{d}^0$  and “exp” depend on the initial conditions and decay exponentially to zero with rate  $\gamma_0$  (for a proof see [?]).

(\*) First Order Approximation Filter



## 4.4.3 Fundamental Lemmas

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### Corollary

Consider  $z = G_F(\tau s)G_L(s)d = G_F(\tau s)\frac{1}{s+\alpha}\bar{G}_L(s)d$  where  $G_F, G_L$  are rational, stable, strictly proper,  $\bar{G}_L$  has *positive impulse response* (p.i.r.),  $\alpha > 0$ . If  $\tau \in [0, \bar{\tau}]$  and  $\bar{\tau}$  is sufficiently small, there exists  $k > 0$  such that (2) and (3) hold with

$$\hat{d}(t) = kG_L\bar{d}(t)$$



## 4.4.4 Stability Theorems

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### Theorem 1

Consider the auxiliary errors  $\varepsilon_i$ ,  $i = 0, \dots, N$  ( $N = n^* - 1$ ). Then, with the relay modulation functions satisfying ( $i = 0, \dots, N - 1$ )

$$f_i \geq \left| (F_{1,i}^{-1} L_{i+1,N}^{-1}) * (\bar{U}) \right| \quad \text{and} \quad f_N \geq \left| F_{1,N}^{-1} * U_d \right|; \quad (4)$$

the auxiliary errors  $e'_i$  ( $i = 0, \dots, N - 1$ ) tend to zero, at least exp. Moreover,

$$|e'_i(t)|, \|x_e(t)\| \leq \Pi^0; \quad |e'_N(t)| \leq 2\tau\kappa K_{eN}C(t) + \Pi;$$

$$|\pi_{ei}(t)|, |\pi_{0i}(t)| \leq \Pi^0; \quad i = 0, \dots, N; \quad |\beta_{uN}(t)| \leq \tau K_{\beta N}C(t) + \Pi^0$$

where,  $\Pi^0(t)$  and  $\Pi(t)$  are exp. decaying terms depending on the initial conditions, and

$$C_1(t) = \sup_t \|\omega(t)\|; \quad C(t) = M_\theta C_1(t) + M_{red}$$

with some positive constants  $M_\theta$ ,  $M_{red}$  and  $\tau := \max_i \tau_i$ .





## 4.4.4 Stability Theorems

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### Interpretation of Theorem 1

Basically, Theorem 1 says that all auxiliary errors decay exponentially to zero, except the last one  $\varepsilon_N$  which tends exponentially to a “small” residual value of order  $\tau C(t)$ . But  $C(t)$  depends on the states of the system, so in order to conclude stability, a further step is Theorem 2.



## 4.4.4 Stability Theorems

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### Theorem 2: Global stability/tracking for $n^* \geq 1$

Assume that the modulation functions satisfy Theorem 1. Then, for sufficiently small  $\tau > 0$ , the full error system with state  $z$  is globally exponentially stable with respect to a residual set of order  $\tau$ , i.e., there exist positive constants  $K$  and  $\delta$  such that  $\forall z(0), \forall t \geq 0, \|z(t)\| \leq Ke^{-\delta t} \|z(0)\| + O(\tau)$ .

#### Proof:

Based on

- a small gain argument
- a recurrence relation relating the full error state  $z$  from time  $t$  to  $t + T$  where  $T$  is some large enough period



## 4.4.4 Stability Theorems

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This proves stability and convergence to a residual set, the size being independent of the initial conditions.



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## The players



(Costa, Araújo, Lizarralde (circa 1995))



## 5. From theory to practice I

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## 5. From theory to practice II

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The VS-MRAC was successfully applied to a number of practical problems.



# 5.1 Dynamic Positioning of an ROV

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Dynamic positioning of an ROV is perfect for SMC application due to model uncertainties and environmental disturbances

Two main publications report the application of the VS-MRAC to ROV Dynamic Positioning Control:

- (da Cunha, Costa and Hsu 1995) – IEEE J. of Ocean Engineering
- (Hsu, Costa, Lizarralde and da Cunha J. 2000) – IEEE Robotics and Automation Magazine

## The Passive Arm

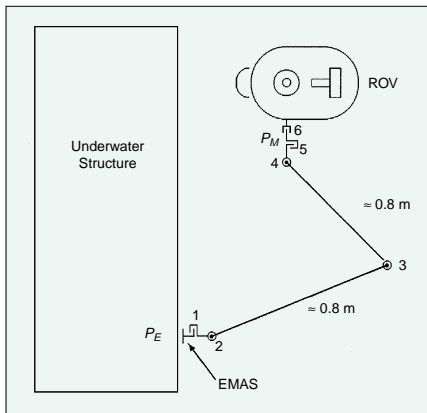


Figure 1. Passive arm.

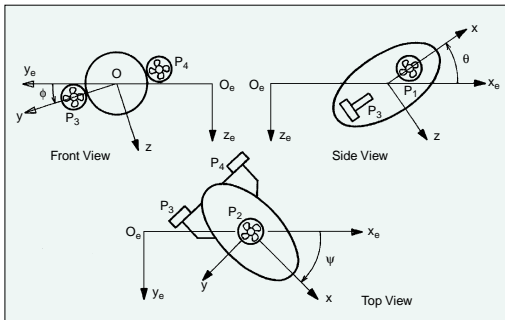


## The ROV-Passive Arm system



**Figure 3.** The passive arm installed on the MKII ROV.

## ROV Coordinate system



**Figure 7.** Schematic ROV views and coordinate systems, where  $O$  and  $O_e$  are the origins of the body and inertial frames, respectively;  $P = [x_e \ y_e \ z_e]^T$  is the ROV position given by the inertial coordinates of  $O$ ;  $x$ ,  $y$ , and  $z$  are the body coordinate axes;  $x_e$ ,  $y_e$ , and  $z_e$  are the inertial coordinate axes (also the inertial coordinates of  $O$ );  $\phi$ ,  $\theta$ , and  $\psi$  are the roll, pitch, and heading Euler angles, respectively;  $Q = [\phi \ \theta \ \psi]^T$  is the ROV attitude.

## P-PI (*Proportional-Proportional Integral*) linear Control

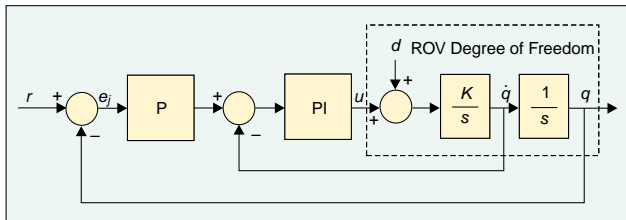


Figure 8. Block diagram of the P-PI.



## 5.1.1 Linear vs nonlinear control algorithms

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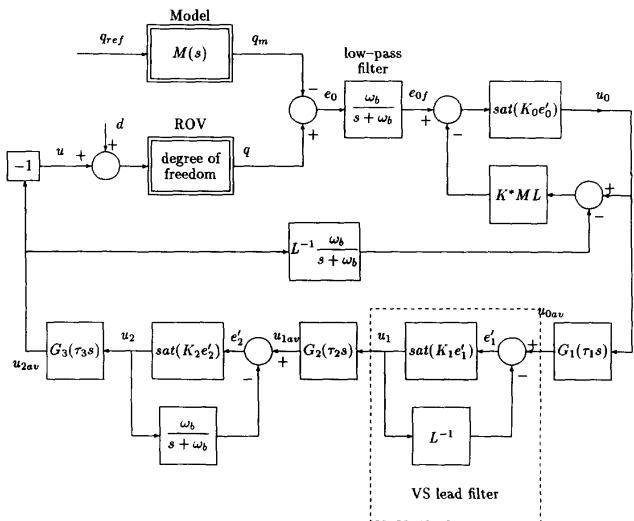
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VS-MRAC ( $n^* = 3$ ) as applied for ROV DP (Note the noise filter)



## 5.1.2 Experimental results with 350Kg ROV (Tatuí-I) P-PI

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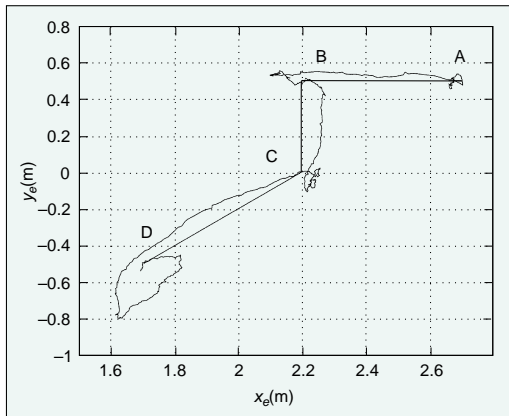
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**Figure 10.** Trajectory tracking tests with the P-PI control algorithm applied to a large ROV. Horizontal  $x_e$ - $y_e$  plane view.

(IEEE RAM 2000)

## 5.1.3 Experimental result of ROV (Tatuí-I) VS-MRAC DP

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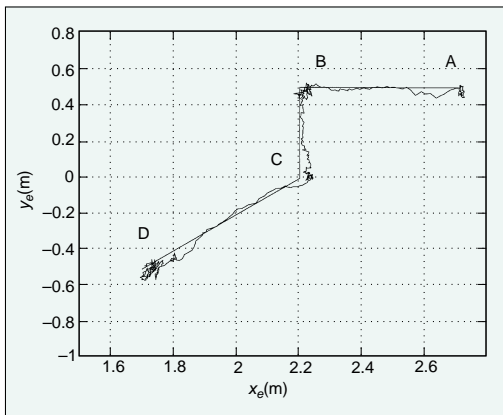
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**Figure 11.** Trajectory tracking tests with the VS-MRAC control algorithm applied to a large ROV. Horizontal  $x_e y_e$  plane view.



## 5.2 Robot manipulator applications

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- VS-MRAC extended to the tracking control of robot manipulators without joint velocity measurements (Hsu and Lizarralde 1995)
- A decentralized VS-MRAC was implemented on a **PUMA 560 manipulator**
- Better results than in the literature
- R. Guenther developed the VS-MRAC for **Flexible Link and Rigid Link Electrically Driven manipulators** using cascade control





## 5.2 Robot manipulator applications

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Equations of  $n$ -link rigid manipulator in joint space

$$H(q)\ddot{q} + C(q, \dot{q})\dot{q} + g(q) = \Gamma \quad (5)$$

- $q \in \mathcal{R}^n$  is the vector of joints;
- $\Gamma \in \mathcal{R}^n$  is the vector of torques;
- $H(q) \in \mathcal{R}^{n \times n}$  is the inertia matrix;
- $C(q, \dot{q})\dot{q}$  represents the centrifugal and Coriolis torques/forces;
- $g(q) \in \mathcal{R}^n$  is the vector of gravitational torques/forces

**OOPS! a nonlinear system!!**



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We wish to design a suitable control to ensure that the joint tracking error

$$\tilde{q} = q - q_d \quad (6)$$

remains *small*.

The desired trajectory and derivatives  $q_d(t)$ ,  $\dot{q}_d(t)$  and  $\ddot{q}_d(t)$  are given.



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Lagrangian systems are nonlinear.

We need to bring our system to a linear form with nonlinear disturbances.

The proposed strategy is based on the following ideas:

- Using *Computed Torque*, linearize and decouple into  $n$  subsystems, with the available (nominal) parameter information;
- Regard imperfect compensation as an input disturbance to each subsystem;
- Control each subsystem by means of the I/O VS-MRAC. This circumvents the problem of velocity measurement.



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Linearization and decoupling is trivial in the case of perfect parameter knowledge and with position and velocity measurements. Indeed, using

$$\Gamma = H(q)u + U_{ff} \quad (7)$$

$$U_{ff} = H(q)\ddot{q}_d + C(q, \dot{q})\dot{q} + g(q) \quad (8)$$

we obtain from the dynamic equation of the manipulator (5), the following system

$$\ddot{\tilde{q}} = u \quad (9)$$

where  $u$  is the control vector to be designed so as to achieve asymptotic tracking ( $\tilde{q} \rightarrow 0$ ). We can thus control separately each joint, reduced to simple double integrators.



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Now, when the system parameters are known only *nominally* and  $\dot{q}$  is not measured, the feedforward terms of  $U_{ff}$  (8) can be replaced by an approximation, using nominal parameter values and desired trajectory quantities, i.e.,

$$U_{ff}^o = H^o(q_d)\ddot{q}_d + C^o(q_d, \dot{q}_d)\dot{q}_d + g^o(q_d) \quad (10)$$

where the superscript  $^o$  in  $H$ ,  $C$  and  $g$  indicates that nominal parameters are being used.

Now, the subsystems is reduced disturbed and coupled double integrators

with the control law given by

$$\Gamma = H^o(q)u + U_{ff}^o \quad (11)$$



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one obtains from (5), the following system

$$\ddot{\tilde{q}} = u + d \quad (12)$$

where

$$d = d_\alpha + d_\beta + d_\gamma + d_\delta \quad (13)$$

with disturbances terms bounded (elementwise) by:

$$|d_{\alpha i}| \leq K_{1i}^\alpha \|\dot{\tilde{q}}\| + K_{2i}^\alpha \|\ddot{\tilde{q}}\|^2 \quad (14)$$

$$|d_{\beta i}| \leq \delta_{1i} \|u\| \quad (15)$$

$$|d_{\gamma i}| \leq \delta_{2i} \|\ddot{q}_d\| + \delta_{3i} \|\dot{q}_d\|^2 + \delta_{4i} \quad (16)$$

$$|d_{\delta i}| \leq K_{1i}^\delta \|\ddot{q}_d\| + K_{2i}^\delta \|\dot{q}_d\|^2 + K_{3i}^\delta \quad (17)$$

where  $\delta_{ki}$ ,  $K_{ki}^\delta$  and  $K_{ki}^\alpha$  are nonnegative constants.

Note that the constants  $\delta_{ki}$  tend to zero when nominal values approach the true values, i.e.,  $\tilde{H} \rightarrow 0$ ,  $\tilde{C} \rightarrow 0$  and  $\tilde{g} \rightarrow 0$ .



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The controller is designed to generate the control signal  $u_i$  for each of the subsystems of (12), namely,

$$\ddot{q}_i = u_i + d_i \quad (18)$$

where  $\tilde{q}_i$ ,  $u_i$  and  $d_i$  are the  $i$ -th component of  $\tilde{q}$ ,  $u$  and  $d$ , respectively.

As can be observed, the plant (18) has relative degree  $n^* = 2$ .



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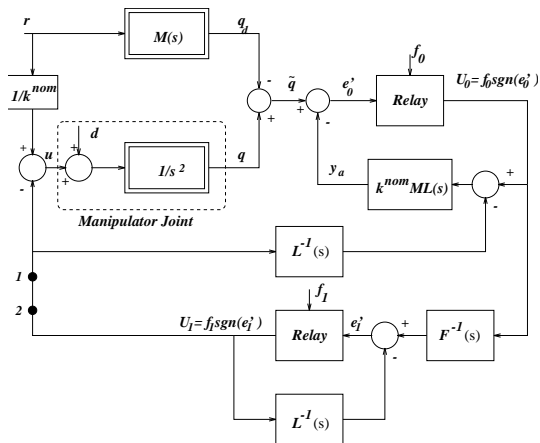
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Thus, the VS-MRAC for  $n^* = 2$  can be applied to each generic degree of freedom.







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### Theorem

Consider system MRAC error system with  $u(t)$  given by the VS-MRAC of Fig. 56. Let  $z(t)$  be the complete state of the error system as defined above and let  $C(t)$  be defined as

$$C(t) = M_\theta C_\omega(t) + \|W_d\| C_d(t) \quad (19)$$

where  $C_\omega(t) = \sup_t |\omega(t)|$ ;  $C_d(t) = \sup_t |d(t)|$  and  $\|\theta^*\| \leq M_\theta$ . Then one has

$$\|e\| \leq \tau K_e C(t) + EXP \quad (20)$$

Moreover, if the following stability condition

$$C(t) \leq K_1 \|z(0)\| + K_2 \quad (21)$$

holds  $\forall z(0)$ , then  $z(t)$  is globally exponentially stable with respect to some small residual set with magnitude of order  $\tau$ .



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The proof of **(B)** invokes the Frobenius-Perron's Theorem, due to the residual coupling of the control of each subsystem which is fortunately of order  $O(\tau)$ .

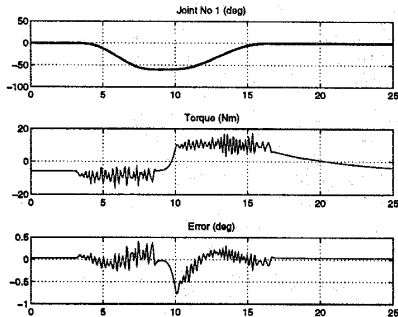


Figure 2: Joint  $N^{\circ}1$ , a)  $q$  and  $\dot{q}_d$  in degrees, b) control signal  $\Gamma$  in  $Nm$  and c) tracking errors in degrees.

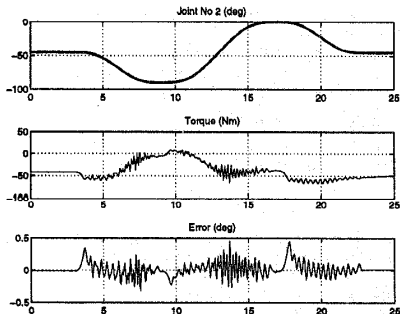


Figure 3: Joint  $N^{\circ}2$ , a)  $q$  and  $q_d$  in degrees, b) control signal  $\Gamma$  in  $Nm$  and c) tracking errors in degrees.

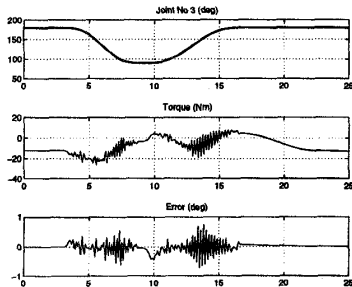


Figure 4: Joint  $N^{\circ}3$ , a)  $q$  and  $q_d$  in degrees, b) control signal  $\Gamma$  in  $Nm$  and c) tracking errors in degrees.



## 5.3 Other Applications

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- A.D. de Araújo (UFRN, Natal) developed several successful applications with **DC and Induction motor control** (a CHESF project 2009).
- He proposed several variations of the VS-MRAC, including **adaptive pole placement control with variable structure (VS-APPC)**.
- Sahjendra N. Singh (UNLV, Las Vegas) and A. D. Araújo: applications of the VS-MRAC to **aerospace and aircraft problems**. One example (2012) is in **satellite formation control**.



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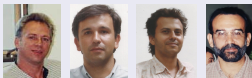
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## The players



(Costa, Lizarralde, Cunha, Araújo (circa 2000))





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- Block diagram,  $n^* \geq 1$
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- Experimental of ROV P-PI DP
- Experimental ROV P-PI DP

### 6 MIMO VS-MRAC

- Multivariable VS-MRAC
  - UV-MRAC Relative degree 1
- UV-MRAC,  $n^* \geq 1$

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## 6.1 Multivariable VS-MRAC

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References: (Cunha, Hsu, Costa and Lizarralde 2002, 2003, 2006, 2008-FOAF)

Other approaches: (Spurgeon and Edwards 1998), (Emelyanov et al 1992), (Chien et al 1996), (Bandhiopadhyai 2002 (discrete-time))

A powerful approach is the High Gain Observer approach for output feedback SMC (Oh and Khalil 1995, 1997). However, peaking and noise sensitivity are of concern.



## 6.1.1 UV-MRAC $n^* = 1$

|

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- Designs for linear and nonlinear multivariable plants;
- Unit vector control;
- $-K_p$  Hurwitz uncertain High Frequency Gain (N & S for sliding!);
- Global stability with output feedback.

**Remark: First Order Approximation Filters (FOAF)** are instrumental to extend VS-MRAC to nonlinear systems.



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### Problem statement

#### ■ Plant

$$\dot{x}_p = A_p x_p + \phi(x_p, t) + B_p u, \quad y = C_p x_p$$

$$x_p, \phi \in \mathbb{R}^n, \quad y, u \in \mathbb{R}^m$$

#### ■ Linear subsystem transfer function matrix:

$$G(s) = C_p (sI - A_p)^{-1} B_p$$

#### ■ High frequency gain matrix:

$$K_p = C_p B_p$$



## 6.1.1 UV-MRAC $n^* = 1$

III

### Special assumptions

- (A1)  $S_p$  is known such that  $-K_p S_p$  is Hurwitz  
(relaxes the positive definiteness condition)
- (A2)  $\phi(x_p, t)$ : piecewise continuous in  $t$  and locally Lipschitz in  $x_p$
- (A3)  $\|\phi(x_p, t)\| \leq k_x \|x_p\| + \varphi(y, t)$ ,  $k_x, \varphi \geq 0$  are known

(A1) relaxes a positive definiteness condition. All uncertainty is expressed as a Hurwitz condition.

It is less conservative than allowing  $\phi = B\xi(t, x_p, u)$  and requiring

$$\|\xi(t, x_p, u)\| \leq k_1 \|u\| + \alpha(t, x_p)$$

and bounding the gain  $k_1$  as made in several other published works (e.g. (Edwards and Surgeon 1998)).

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### Unit Vector control law

$$u = u^{nom} - S_p \rho \frac{e}{\|e\|}$$

**Modulation (or variable gain) function:**

$$\rho = \delta + c_1 \|\omega\| + c_2 \|r\| + c_3 \|e\| + \hat{\phi}(t)$$

output feedback!.



## 6.1.1 UV-MRAC $n^* = 1$

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### Lemma

Consider the MIMO system

$$\dot{e}(t) = A_M e(t) + K [u + d(t) + \pi(t)], \quad (22)$$

$$u = -\rho(e, t) \frac{e}{\|e\|}, \quad (23)$$

where  $A_M, K \in \mathbb{R}^{m \times m}$ ;  $d(t)$ ,  $\pi(t)$  and  $\rho$  are LI. If  $-K$  is Hurwitz and

$$\rho(e, t) \geq \delta + c_e \|e(t)\| + (1 + c_d) \|d(t)\|, \quad (24)$$

where  $c_e, c_d \geq 0$  are appropriate constants, and  $\delta \geq 0$  is an arbitrary constant, then  $\exists k_1, k_2, \lambda_1 > 0$  such that

$$\|e(t)\| \leq (k_1 \|e(0)\| + k_2 R) \exp(-\lambda_1 t). \quad (25)$$

Therefore, for  $\pi(t) \equiv 0$  the system is globally exponentially stable. Moreover, if  $\delta > 0$ , then the sliding mode at  $e = 0$  is reached after some finite time  $t_s \geq 0$ .

*Proof:* see (Cunha et al, 2003) [Cunha, Hsu, Costa, and Lizarralde 2003].



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### Theorem

*Theorem 1 If certain assumptions including (A1)–(A3) are verified, then the The UV-MRAC system is globally exponentially stable. Moreover, if  $\delta > 0$ , the output error  $e(t)$  becomes zero after some finite time.*

*Proof:* Application of a Lemma 1 to the nonminimal realization of error equation and the equations for the transient state of  $W_d$  and of the filter that generates  $\hat{d}$ . The transient state is incorporated to the  $\pi$  term of Lemma 2 of Sec. 4 . ■





## 6.1.1 UV-MRAC $n^* = 1$

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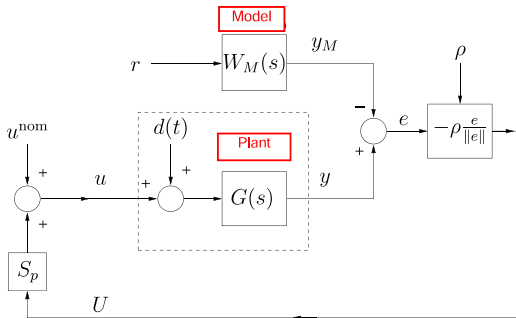
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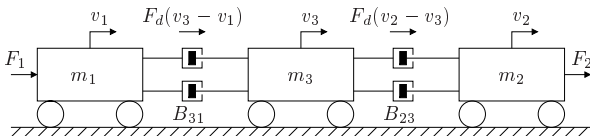
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**Remark:** The Hurwitz condition is necessary and sufficient for UVC.

## UV-MRAC ( $n^*=1$ ) Block diagram



## Simulation Example: Three car chain



$$y = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

$$u = \begin{bmatrix} F_1 \\ F_2 \end{bmatrix}$$

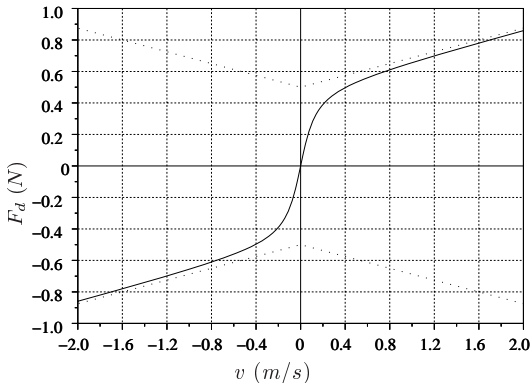
$$x_p = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}$$



## 6.1.1 UV-MRAC $n^* = 1$

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### Nonlinear damper



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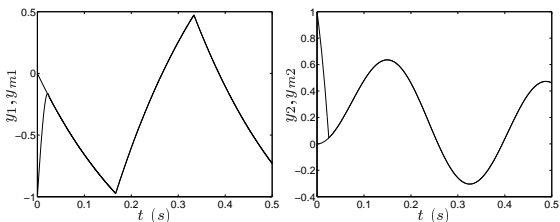
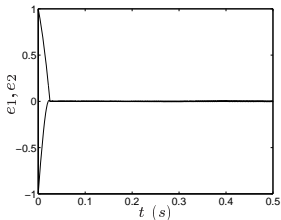
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### Position control of carts 1 and 2





## 6.2 UV-MRAC, $n^* \geq 1$

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Two options have been proposed:

- Generalize the VS-MRAC SISO by using unit vectors instead of relays
- Use High Gain Observers (HGO) to get the necessary (error) state estimation of uncertain plants.



## 6.2.1 UV-MRAC properties, $n^* \geq 1$ ]

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VS-MRAC with  
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VS-MRAC with  
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Peaking Phenomena

Peaking-free control

Cart position control

Conclusion for  
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HGO

Binary MIMO  
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B-MRAC reduction

### Summary of properties

- Applicable for a class of uncertain multivariable nonlinear systems;
- Assumes uniform relative degree  $n^* \geq 1$ ;
- Includes nonlinear state dependent and unmatched disturbances;
- Peaking free (in contrast to well known HGO based design);
- Global or semi-global stability with respect to some residual set.

Reference:(Hsu et al IFAC2005)

Related literature: (Edwards and Spurgeon 1998), (Oh and Khalil 1995)



## 6.2.2 Problem statement

- Plant (square system:  $y, u \in \mathbb{R}^m$ )

$$\begin{aligned}\dot{x}_p &= A_p x_p + \phi(x_p, t) + B_p u \\ y &= C_p x_p\end{aligned}$$

- Linear subsystem transfer function matrix:

$$G(s) = C_p (sI - A_p)^{-1} B_p$$

- High frequency gain matrix:

$$K_p = C_p A_p^{n^* - 1} B_p$$

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UV-MRAC

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## 6.2.3 Assumptions

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(A1) Standard MRAC assumptions for  $G(s)$

(A2)  $G(s) \rightarrow$  known relative degree  $n^*$

(A3) Matrix  $S_p$  known such that  $-K_p S_p$  Hurwitz  $\rightarrow$  *reduce prior knowledge of  $K_p$*

(A4)  $\phi$  is **locally Lipschitz** in  $x_p$  and piecewise continuous in  $t$

$$\|\phi(x_p, t)\| \leq k_x \|x_p\| + \varphi(y, t), \quad \forall (x_p, t), \quad \text{with } k_x, \varphi \text{ known}$$

Note:  $\varphi = \|y\|^2 \rightarrow$  finite-time escape is not precluded, *a priori*

## 6.2.3 UV-MRAC Block Diagram, $n^* \geq 1$

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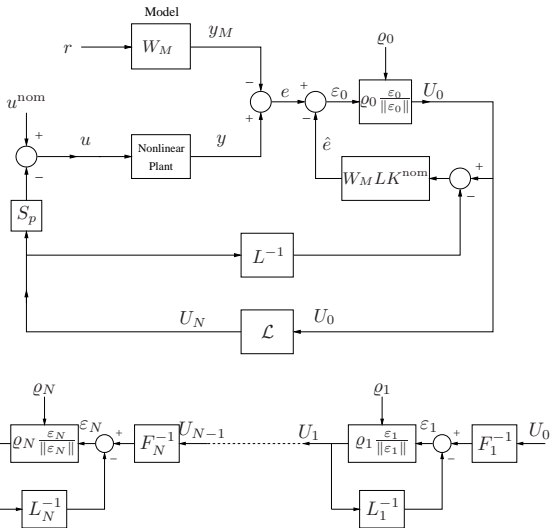
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## 6.3 VS-MRAC with HGO

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- Instead of the VS-lead filters of VS-MRAC, it is possible to use High Gain Observers.
- Its is different from using lead compensators.
- The distinctive difference is that, observers may form an Ideal Sliding Loop, even if the plant has unmodeled dynamics.
- Therefore the controller is expected to be less prone to chattering.



## 6.3.1 VS-MRAC with HGO, SISO

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First consider the SISO case.

Model Reference:  $\{A_M, B_M, C_M\}$ , in observer canonical form.

The Model Following error state equation:

$$\begin{aligned}\dot{x}_e &= A_M x_e + B_M \frac{K_p}{K_M} \left[ u - \theta^{*T} \omega + W_d(s) * d(t) + \pi_e \right] \\ e &= C_M x_e\end{aligned}$$

The high gain observer ([smart placement!](#)):

$$\begin{aligned}\dot{\hat{x}}_e &= A_M \hat{x}_e + B_M k^{nom} U - [\alpha(\varepsilon^{-1}) - a_M] \tilde{e} \\ \tilde{e} &= C_M \hat{x}_e - e, \quad e = y - y_M\end{aligned}$$



## 6.3.1 VS-MRAC with HGO, SISO

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The OF SMC law

- Control law:  $u = u^{nom} - \rho \text{sign}(S\hat{x}_e)$
- Modulation function:  
$$\rho(t) \geq \left\| (\theta^{\text{nom}} - \theta^*)^T \omega + W_d(s) * d(t) \right\|$$
- $\hat{x}_e$  is the estimate of  $x_e$  (from a HGO).
- $S$  is s.t.  $S(sI - A_M)^{-1}B_M = W_M(s)L(s)$  is SPR



## 6.3.2 Caveat: HGO has peaking

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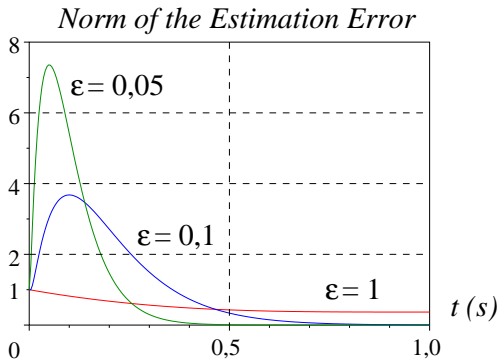
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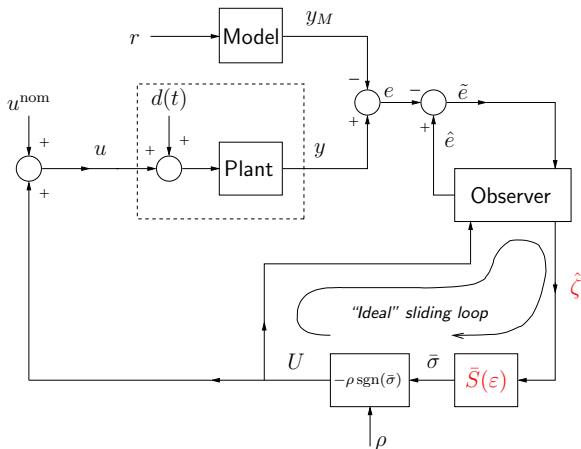
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**Problem:** Peaking in the HGO as  $\varepsilon \rightarrow 0+$ .



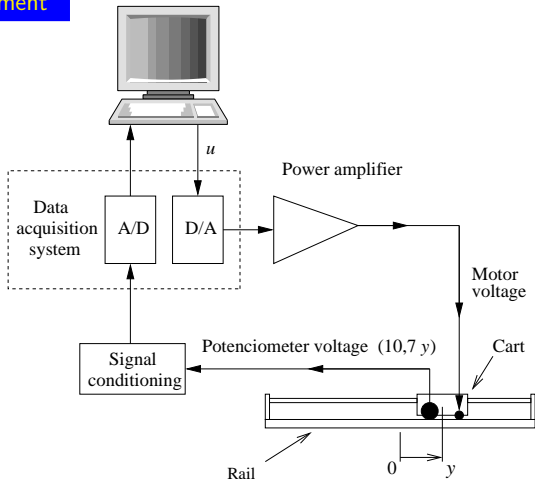
## 6.3.3 Peaking-free control with ISM via HGO



Better robustness than using lead compensators using differentiators? (to be confirmed theoretically...)

## 6.3.4 Experimental setup

### Experiment







## 6.3.5 HGO VS-MRAC cart position control

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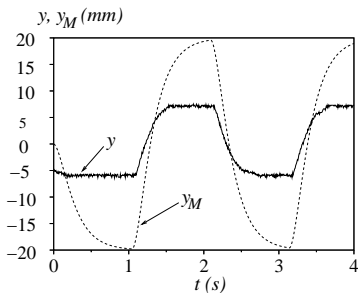
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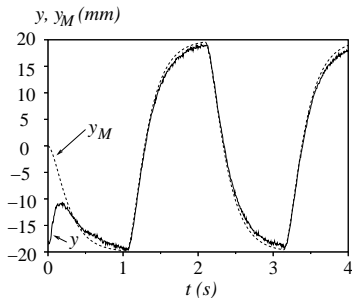
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Nominal linear control

Nominal cart mass



HGO + VSC + SVF

Augmented cart mass



## 6.3.6 Conclusion for VS-MRAC with HGO

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### Conclusion

- New algorithm VSC + HGO + State Variable Filters
- The sliding surface is generated using the HGO state.
- Modulation function based on the filters state
- Main result: **global exponential stability without *peaking***



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## The players



(Costa, Lizarralde, Cunha, Peixoto (circa 2000))



# 7. Binary MIMO MRAC and Passivation

- UV-MRAC properties,  $n^* \geq 1$
- Problem statement
- Assumptions
- UV-MRAC Block Diagram,  $n^* \geq 1$

## 7 Binary MIMO MRAC and Passivation

- Motivation
- Passivity framework
- MIMO B-MRAC
- B-MRAC adaptive control application
  - Conclusions

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## 7.1 Motivation

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B-MRAC reduction

- MRAC leads to continuous control signal but lacks robustness and can present bad adaptation transient.
- UV-MRAC exhibits invariance properties, robustness and good convergence. Needs infinite switching frequency and is chattering prone.
- B-MRAC acts as a bridge between them and combines their desirable properties and avoiding their drawbacks.
- The B-MRAC consists basically of the conventional MRAC modified by parameter projection combined with high adaptation gain.



## 7.2 Passivity framework

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B-MRAC reduction

- The Lyapunov based design of MIMO MRAC requires the SPR passivity condition for the error equation.
- This implies a stringent symmetry condition on the high frequency gain matrix  $K_p$ .
- A new generalized passivity requires the weaker WSPR condition.
- WSPR does not require  $K_p$  to be positive definite symmetric. It only requires it to have Positive Diagonal Jordan form (PDJ).



# SPR condition

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The system

$$\dot{x} = Ax + Bu, \quad (26)$$

$$y = Cx, \quad (27)$$

is Strictly Passive (SPR) if and only if there exist symmetric and positive definite (SPD) matrices  $P$  and  $Q$  satisfying

$$A^T P + PA = -Q, \quad (28)$$

$$PB = C^T. \quad (29)$$

Then the symmetry condition is easy to verify:

$$K_p = CB = B^T C^T > 0$$

where the matrix  $K_p$  is the high frequency gain matrix, deemed to be SPD.



# WSPR condition

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The system satisfies the WSPR condition if besides  $P$ ,  $Q$ , there exists  $W$  SPD, such that

$$A^T P + PA = -Q, \quad (30)$$

$$PB = C^T W. \quad (31)$$

Note that  $W$  is not used for the control design. Only its existence is required!





# PDJ condition

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- From  $PB = C^T W$ , it can be noted that

$$B^T P B = B^T C^T W = (CB)^T W$$

is symmetric and positive definite (SPD).

- Given a matrix  $CB \in \mathbb{R}^{m \times m}$ , then exist a matrix  $\bar{W} = \bar{W}^T > 0$ ,  $\bar{W} \in \mathbb{R}^{m \times m}$  such that

$$\bar{W}(CB) = (CB)^T \bar{W} > 0, \quad (32)$$

if and only if  $CB$  has real and positive eigenvalues and its Jordan form is diagonal (PDJ).



# Application of the concept of passivity on MRAC MIMO

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- Control objective: To find  $u(t)$  such that

$$e(t) = y_p(t) - y_M(t).$$

tends to zero asymptotically for arbitrary CIs.

- The concepts of WSPR and WASPR can be applied.
- Consider the modified error equation.

$$\begin{aligned} \dot{x}_e &= A_K x_e + B_c K_p [u - u^*], \\ e_L &= L e, \quad (e = H_o x_e), \end{aligned}$$

where  $A_K = A_c - B_c K_p K L H_o$

- $L$  is chosen so that  $\{A_K, B_c K_p, L H_o\}$  is PDJ.



# Determination of the passifying multiplier $L$

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- Consider the factorization  $K_p = L_p D_p U_p$ .
- The diagonal matrix  $D_0$  is chosen.
- A lower triangular multiplier matrix  $L = D_0(L_p D_p)^{-1}$  can be obtained so that

$$\bar{K}_p = LK_p = D_0(L_p D_p)^{-1}(L_p D_p)U_p = D_0 U_p,$$

- Then the modified error system

$$e_L = W_M(s)LK_p \tilde{u}, \quad \tilde{u} = u - u^*, \text{ is WSPR.}$$



## 7.2 MIMO B-MRAC

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B-MRAC reduction

The B-MRAC was proposed by Hsu and Costa in the early 90's for SISO systems. Here we extend it to the MIMO case. To this end, a passivity framework is helpful.

- In the MIMO case, the control law can be parametrized in the following forms
- The projection of a vector is more natural than the projection of a matrix, then consider.

- Instead of a matrix  $\Theta \in \mathbb{R}^{N \times m}$ , a modified vector  $\theta \in \mathbb{R}^{Nm}$ .

- Instead of a vector  $\omega \in \mathbb{R}^N$ , a modified matrix  $\Omega \in \mathbb{R}^{Nm \times m}$ .



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- Given by

$$\Omega = I_m \otimes \omega = \begin{bmatrix} \omega & & \\ & \ddots & \\ & & \omega \end{bmatrix}, \quad \theta = \text{vec}(\Theta) = \begin{bmatrix} \theta_1 \\ \vdots \\ \theta_m \end{bmatrix},$$

where  $\theta_i$  corresponds to the  $i$ -th column of the parameter matrix  $\Theta$ .



# B-MRAC MIMO

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B-MRAC reduction

- Thus, the adaptation law B-MRAC MIMO is given by

$$\dot{\theta} = -\sigma\theta - \gamma\Omega e_L,$$

$$\sigma = \begin{cases} 0, & \text{if } \|\theta\| < M_\theta \text{ or } \sigma_{eq} < 0, \\ \sigma_{eq}, & \text{if } \|\theta\| \geq M_\theta \text{ and } \sigma_{eq} \geq 0, \end{cases}$$

$$\sigma_{eq} = \frac{-\gamma\theta^T\Omega e_L}{\|\theta\|^2},$$

where

$$M_\theta > \|\theta^*\|$$

$$u(t) = \Theta^T(t)\omega(t) = \Omega^T(t)\theta(t).$$



# Connection between B-MRAC and Unit Control Vector

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B-MRAC reduction

Consider the B-MRAC adaptive law

$$\gamma^{-1}\dot{\theta} = -\gamma^{-1}\theta\sigma_{eq} - \Omega e_L$$

when  $\gamma \rightarrow \infty$ , it can be verified that  $\theta$  is collinear with  $\Omega e_L$ , hence  $\theta$  can be express by

$$\theta = -M_\theta \frac{\Omega e_L}{\|\Omega e_L\|}.$$

Then, the form of the UVC law can be obtained

$$u = -M_\theta \|\omega\| \frac{e_L}{\|e_L\|}.$$

## 7.3 Direct adaptive visual tracking

- Direct adaptive visual tracking of planar manipulators.
- Fixed camera (plant) with optical axis orthogonal to the robot workspace.
- The camera orientation angle is uncertain with respect to the coordinates of the robot workspace.

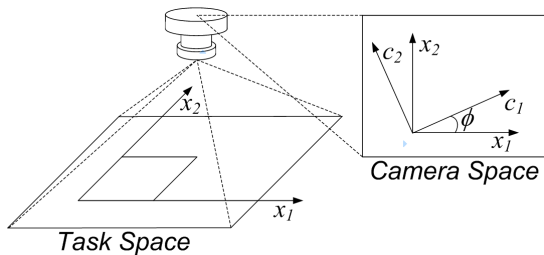


Figure: Representation of the camera-robot system



# Equations of the visual tracking system

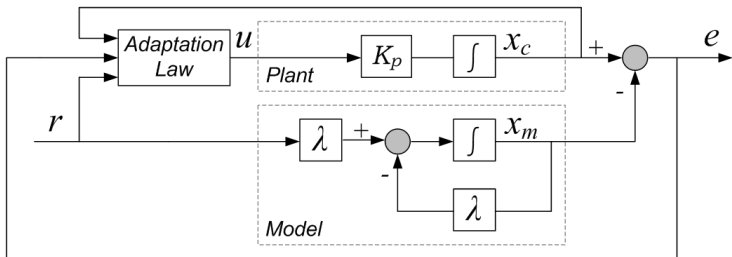


Figure: Representation of the camera-robot system

$$\dot{x}_c = K_p u, \quad K_p = \begin{bmatrix} \cos(\phi) & -\sin(\phi) \\ \sin(\phi) & \cos(\phi) \end{bmatrix},$$

$x_c \in \mathbb{R}^2$  Coordinates of the end-effector of the image plane.

$K_p \in \mathbb{R}^{2 \times 2}$  Rotation matrix.

$u \in \mathbb{R}^2$  Cartesian control law.

# Equations of the visual tracking system

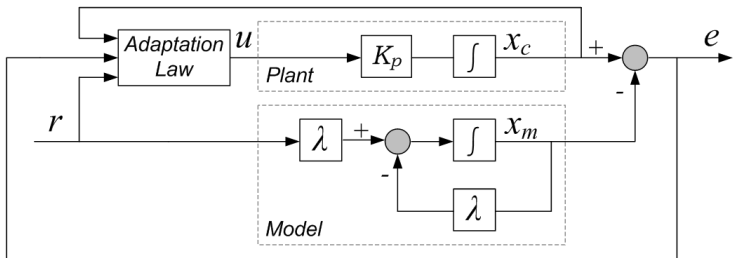


Figure: Representation of the camera-robot system

$$\dot{x}_m = -\lambda x_m + \lambda r(t),$$

$x_m \in \mathbb{R}^2$  Desired image-plane trajectory.

$\lambda \in \mathbb{R}$  A positive constant.

$r \in \mathbb{R}^2$  An arbitrary reference signal piece-wise and



# Equations of the visual tracking system

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B-MRAC adaptation

**Control objective:** Find a control law  $u$  such that

$$e = x_c - x_m \rightarrow 0 \text{ for arbitrary CIs.}$$

**Tracking error equation:**

$$\dot{e} = -\lambda e + K_p u - \lambda \omega, \quad \omega = r(t) - x_c.$$

**Ideal control law:**

$$u^* = \Theta^{*T} \omega = \Omega^T \theta^*, \quad \Theta^{*T} = \lambda K_p^{-1}.$$



# Determining the passifying matrix $L$

To turn the error system WASPR is necessary to find a constant matrix  $L$  such that  $LK_p$  is PDJ.

$$K_p = L_p D_p U_p,$$

$$K_p = \begin{bmatrix} c & -s \\ s & c \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ s/c & 1 \end{bmatrix} \begin{bmatrix} c & 0 \\ 0 & 1/c \end{bmatrix} \begin{bmatrix} 1 & -s/c \\ 0 & 1 \end{bmatrix},$$

Defining  $D_0 = \begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix}$ , tem-se

$$L = D_0(L_p D_p)^{-1} = \begin{bmatrix} \alpha/c & 0 \\ -\beta s & \beta c \end{bmatrix}.$$

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# Parameters of the visual tracking system

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## System's parameters

Initial conditions  $x_c(0) = [5 \ 5]^T$ .

Reference signal  $r(t) = [10 \sin(3t) \ 10 \sin(0.5t)]^T$ .

Model's constant  $\lambda = 1$ .

Orientation angle  $\phi = 30^\circ$ .

## Passifying matrix $L$

Nominal angle  $\phi_n = 45^\circ$ .

$D_0$ 's constants  $\alpha = 5$  e  $\beta = 1$ .

## Controller's parameter $M_\theta$

- With  $\|\theta^*\| = \sqrt{2}$ .
- It can be chose  $M_\theta = 3$ .

# MRAC control with passivation and $\gamma = 5$

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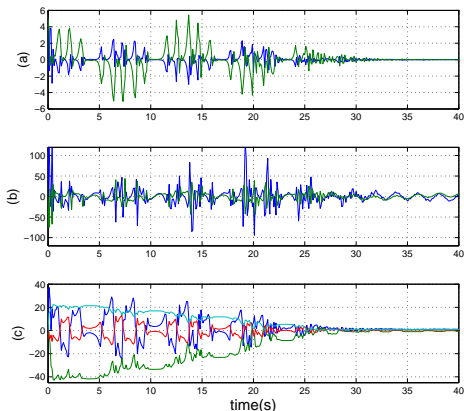


Figure: Behavior of the MRAC control with passivation and  $\gamma = 5$ :

- (a) Tracking errors  $e$ ;
- (b) Plant control signals  $u$ ;
- (c) Adaptive parameters



# B-MRAC control without passivation and $\gamma = 5$

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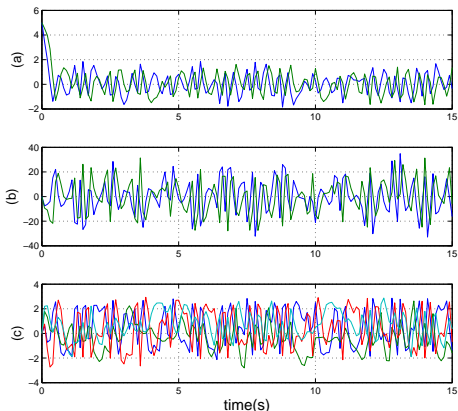
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**Figure:** Behavior of the B-MRAC control without passivation and  $\gamma = 5$ : (a) Tracking errors  $e$ ; (b) Plant control signals  $u$ ; (c) Adaptive parameters



# B-MRAC control with passivation and $\gamma = 5$

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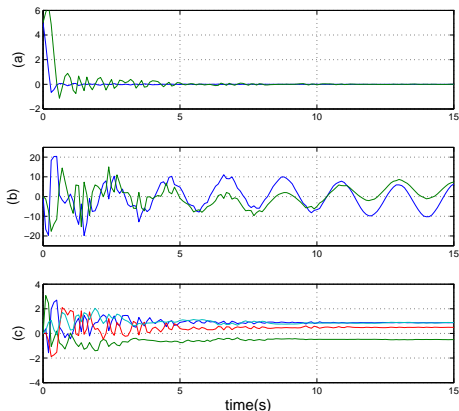
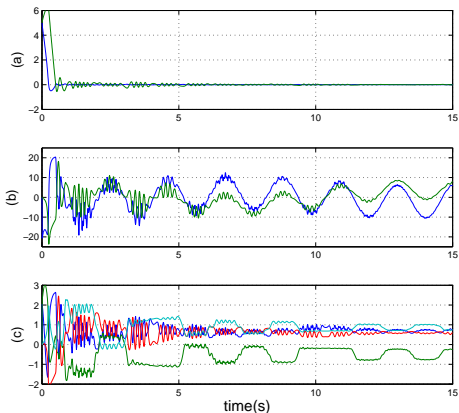


Figure: Behavior of the B-MRAC control with passivation and  $\gamma = 5$ :  
(a) Tracking errors  $e$ ; (b) Plant control signals  $u$ ;  
(c) Adaptive parameters





# B-MRAC control with passivation and $\gamma = 20$



**Figure:** Behavior of the B-MRAC control with passivation and  $\gamma = 20$ : (a) Tracking errors  $e$ ; (b) Plant control signals  $u$ ; (c) Adaptive parameters

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# UVC without passivation

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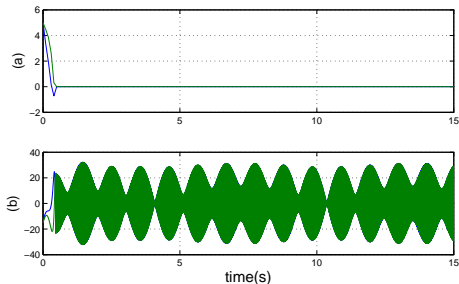


Figure: UVC without passivation: (a) Tracking errors  $e$ ;  
(b) Plant control signals  $u$

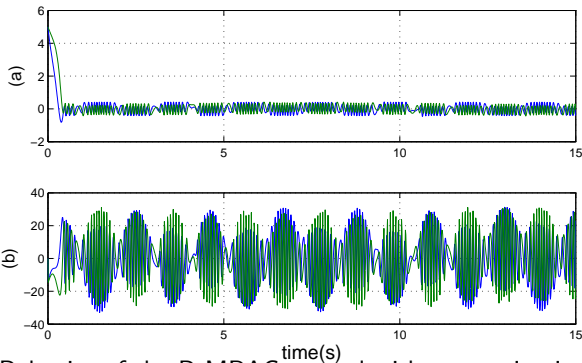


Figure: Behavior of the B-MRAC control without passivation and  $\gamma = 100$ : (a) Tracking errors  $e$ ; (b) Plant control signals  $u$



# B-MRAC control with passivation and $\gamma = 100$

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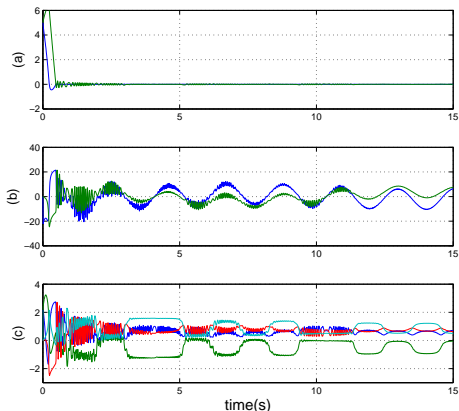
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**Figure:** Behavior of the B-MRAC control with passivation and  $\gamma = 100$ : (a) Tracking errors  $e$ ; (b) Plant control signals  $u$ ; (c) Adaptive parameters



## 7.3.1 Conclusions

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- The B-MRAC was extended for MIMO systems.
- The generalized passivity concepts of WSPR and WSPR were used.
- With high adaptive gains B-MRAC's behavior gets closer to the UVC's behavior.
- The B-MRAC scheme improves the MRAC's transient.
- The passivation achieves chattering reduction.



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## The players



(Barkana, Teixeira, Costa, Assunção, Battistel, Nunes and  
Yanque (circa 2012))



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